# **Files and Directories**

#### • Administrative

- \* HW# 1 Due this week
- Goals: Understand the file system concepts
  - \* files, links, and directories
  - \* device independent interface

#### • Topics:

- \* 3.0 Device independence
- \* 3.1 Directory operations, Paths
- \* 3.2 Disk structures: inodes, links, directories
- \* 3.3 Memory structres: descriptors, file pointers
- \* 3.4-5,3.9 Filters, Redirection, Pipes
- \* 3.6-8 File operations: blocking/non-blocking
- Readings: Chapter 3 (Robbins, pp.76-137)
- Recommended Exercises: 3.1 12

# 3.0 Device independence

- Q? Which devices are of interest?
  - \* terminal, disk, tapes, audio, network, ...
  - \* special files located in /dev/
  - \* Q? Name 3 other device controlled by OS.
- Why device independence ?
  - \* Ex. restoring files from tape backup to disk
  - \* text/images from internet -> disk -> printer
  - \* audio: microphone -> disk/CD -> speakers
  - \* How many interfaces do you want to learn?
- What is Device independence ?
  - \* uniform interface to all devices!
  - \* Operations: open, close, read, write, ioctl
  - \* File desriptors are used for all devices
  - \* Device driver hides device specific things

# 3.0 Device independence

- Advantage: simplifies systems programming
  - \* Ex. I/O redirection from terminal/keybard to files
  - \* Ex. Pipes to link filter processes
  - \* postscript files
  - \* tar files (interchanging tapes, disk)
  - \* audio files: (Sec. 3.11, Program 3.4)
- Q? What are the disadvantages of device independence?
  - \* Which applications need device-specific operations?
- Types of files:
  - \* Regular data files, directory files,
  - \* Block special files e.g. disk
  - \* Character special files e.g. keyboard
  - \* Others, e.g. socket, ...
- How are collections of files organized?

# **3.1 Directory operations, Paths**

• Why directories?

- \* Allows symbolic naming of files
- \* EE/CS Bldg. instead of
- 200 Union St. SE, Minneapolis
- Directories: filenames --> physical properties
  - \* Disk addresses start, end, ...
  - \* Type, size, date of creation/update
  - \* owner, permission, ...

#### • Directory Structures

- \* Linear tables
- \* Fixed depth tree, e.g. one linear table per user
- \* General Tree structures (Fig. 3.1, pp. 79)

# **3.1 Directory Operations**

- Operation on Tree Structured directory
  - \* A. Where am I?
  - \* B. Take me home (or to another node)
  - \* C. Where is an interesting file?
  - \* D. Default search paths for popular executables
  - \* E. open, read, write, close
- A. Current working directory
  - \* Command: pwd /dirA/dirB
  - \* System calls Examples 3.2, 3.3 (pp. 80-81) extern char \*getcwd(char \*buf, size\_t size); long pathconf(const char \*path, int name);
- Naming files- fullname or nicknames
  - \* Absolute: /dirA/my1.dat, /dirA/dirB/my1.dat
  - path( root, file)
  - \* Relative: my1.dat, ../my2.dat
  - path( current working directory, file)
  - Special directories: . and ..

## **3.1 Directory operations**

- *B. Take me home (or to another node)* 
  - \* command: cd [<directoryname>]
     cd /dirA ; pwd
     cd ../dirC ; pwd
     cd ; pwd
  - \* Q? Identify system call from Table 5.3 (pp. 191).
- C. Where is an interesting file? [Appendix A.1.3]
  - \* Command: find pathname(s) operands find / -name "cc" -print find . -name "\*.c" -size +10 -print
- D. Default search paths for popular executables
  - \* 3.1.2 Search Paths = collection of directories
  - \* Shell looks in these for commands typed in! printenv | grep PATH
     PATH=/usr/bin:/etc:/usr/local/bin:.
  - \* Interesting Exercise 3.1 (pp. 85)
  - \* Q? Recall system call to extract PATH (Sec. 2.9).

# **3.1 Directory Operations**

• E. open, read, write, close

- \* System calls: opendir(), readdir(), closdir()
- \* Ex. specs (pp. 82), Program 3.1 (pp. 83)
- \* Note: struct dirent
- \* Q? Is opendir() signal safe?
- 3.1.3 Unix File Systems (Fig. 3.2, pp. 86)
  - \* disk drive --> partition(s), p1, p2, ...
  - \* each partition has a directory
  - \* directory(p1) mounted on directory(p2)
- *Q*? What is kept under the following?
  - \* /dev, /etc, /home, /opt, /usr, /var

### 3.2 Disk structures: inodes

- *inode* = *structure to store a file descriptor* 
  - \* Figure 3.3 (pp. 87)
  - \* Fixed size (Does not contain filenames)
  - \* Stored in inode-list array at disk start
- What information is in inodes?
  - \* Has file size, location, owner, c/a/m time, permission,
  - pointers to data blocks, hard link count
  - \* System call: stat(), spec. pp. 88
  - \* Program Example 3.6 (pp. 89)

### 3.2 Data Structure for File

- The data-structure for file should support
  - \* read(), write(), bulk read
  - \* at random location, e.g. head, tail, lseek
- Choices: data-structure from 1902/3321
  - \* Linear arrays or lists
  - \* trees (binary or nry), balanced?, fixed depth?
- Unix Data-structure to search file blocks
  - \* Unbalanced tree of depth 3
  - \* Trade-of between small and large files
  - \* Interesting exercise 3.3 (pp. 87)
- Q? How will one get first byte? last byte? Nth byte?
- Compare this data-structure to balanced trees of arbitrary depth.
  - \* Maximum file sizes
  - \* Complexity of adding information at end/start

# **3.2 Disk structures: directory entries**

- 3.2.1 Directory = list of directory entries
  - \* Directory entry = <filename, inode number>
  - has variable size due to filenames
  - Stored in a special file
- Compare and contrast inode and directory entries.
  - \* Content
  - \* fixed or variable lengths
  - \* their storge containers
- Q? Why separate filenames from inodes?
  - \* Can a file have multiple names?
  - \* many dirctory entries? many inode numbers?

### 3.2 Disk structures: hard links

• Q? Why links?

- \* Alias, i.e. multiple names for a file
- \* Exercise 3.6 (pp. 95)
- Programs assume /usr/include/X11 for X header files
- but Solaris 2 uses /usr/openwin/share/include/X11
- Q? How can we port C programs using X to Solaris 2?
- Q? What is a simple implementation?
  - \* two directory entries sharing a inode
  - \* Called Hard links!
  - \* Example 3.7, Fig. 3.5 (pp. 91-92)
  - \* Problem: inodes number not unique across partitions
- Q? what a is unique name across entire file system?

# 3.2 Disk structures: symbolic links

• Symbolic links

- \* content of file = pathname of real file
- \* Fig./Example 3.8 (pp. 94)

• *Commands: ln , ln -s* ln file1 anotherLink ln -s sLink file1

• Commands: rm (system call unlink())

- \* Remove a hard link,
- reduce hardlink reference count!
- remove file if count = 0.

\* Example:
 rm /dirA/file1
 rm sLink
 rm anotherLink

# 3.3 Memory data structres for open files

- 3 Unix tables for managing files: (Fig. 3.11, pp. 100)
  - \* OS kernel: (1) In-memory Inode table
  - Caches inode information from disk structures
  - \* OS kernel: (2) System open file table (SOFT),
  - <file status flag, current offset, ptr to Inode entry>
  - status flags = read, write, append, sync, nonblocking etc.
  - \* Per process (3) File descriptor table (FDT)
  - <file descriptor flags, pointer to a SOFT entry>
  - descriptor flags (0/1): 0 => close fd on exec()
- Why separate per process FDT from kernel SOFT?
  - \* process specific I/O redirection
- Why separate SOFT from Inode table?
  - \* Allow 2 processes to share a file and its buffer (e.g. pipe)
  - 2 entries in SOFT e.g. independent reading
  - 1 entry in SOFT share offset, e.g. DBMS logfile

# **3.3 Memory structres: Buffers**

- Why Buffer I/O ?
  - \* Slow, high fixed overhead.
- Analogy: Suppose you eat one candy every day.
  - \* Buying your favourite candy in Mall take 30 minutes
  - \* Q? How often do we want to go to the Mall?
  - \* Not often! Buy candy for a week in each visit!
- Buffer size , Buffering
  - \* Buffer for disk I/O = a block, e.g. 4Kbyte
  - \* Buffer for Keyboard/screen = line (i.e. carriage return)
  - \* Process I/O request until buffer is full
  - \* stderr is not buffered!

#### 3.3 Memory structres: file handles

- File handles = logical names for device independent I/O
  - \* returned by open("filename", ...)
  - \* used by read/write/close to identify a file
  - \* Types of handles: (1) file decsriptor, (2) file pointer
- 3.3.1 File Descriptor = an index into FDT
  - \* POSIX Include file: unistd.h
  - \* Symbolic names: STDIN\_FILENO, STDOUT\_FILENO, ...
  - \* System calls: open, close, read, write, ioctl
- System call open() (specs on pp. 97)
  - \* Usage Example 3.10 (pp. 98)
  - \* Returns file descriptor
  - \* Argument 1 : filename (string)
  - \* Argument 2: oflag permissions for user
  - bit constants: O\_RDONLY, OWRONLY, O\_RDWR, O\_APPEND, O\_NONBLOCK, ...
  - \* Argument 3: fd\_mode permissions for group, other
  - bit constants: Table 3.1 (pp. 99)

#### 3.3 Memory structres: file handles

- 3.3.2 File Pointer = <file descriptor, memory buffer>
  - \* Fig. 3.12 (pp. 102), Example 3.11 (pp. 101)
  - \* ANSI C Include file: stdio.h
  - \* Symbolic names: stdin, stdout, stderr
  - \* library routine: fopen, fclose, fread, fwrite, fscanf, fprintf
  - \* These call read()/write() in turn!
- Should each fread/fwrite lead to system call read/write ?
  - \* Additional Buffering is used to reduce system calls.
- Note 2 kinds of buffers
  - \* (A) Used by device (e.g. disk controller)
  - \* (B) Used by ANSI C to reduce calls to read/write
- Avoid additional buffering by ANSI C runtime
  - \* fputs()
  - \* stderr

# **3.3 Memory structres - Exercises**

- Ex.: Predict output of Examples 3.12, 3.13 (pp. 102-103)
- Q?Which table (Inode/SOFT/FDT) entries has :
  - \* process access permissions for a file
  - \* memory buffer and next byte to be read/written
  - \* owning user, pointers to disk blocks
- What are the disadvantages of buffering?
  - \* Revisit test for last bullet (Lab. 1, Section 2.12, pp. 70)
  - \* lose data if system crashed before buffer is full
  - System call fflush() to force I/O after write()
  - \* Real-time I/O is harder

# 3.3.3 Memory structres and fork()

• 3.3.3 Inheritance of File Descriptors in fork()

- \* Child FDT is a copy of parent process FDT
- \* Share SOFT entries, i.e. file-offsets
- for files open at fork() time
- not for files opened after fork()
- \* Fig. 3.13 and 3.14 (pp. 105-6)
- Exercise 3.11 (pp. 101)
  - \* A process opens a file for reading and then forks.
  - \* How do reads and writes by two process interact?
- Q? Are file pointers inherited?
  - \* Are buffer contents inherited?
  - \* Are buffer for files opened before fork shared?

# **3.4-5 Filters, Redirection, Pipes**

- Benefits of device independent
- 3.4 Filter = program uses standard I/O for read/write,
- all parameters passed via command line args,
- Requires no user interaction,
- input data has no headers or trailers
  - \* e.g. head, tail, more, sort, grep, awk
- I/O Redirection
  - \* Shell symbols: >, <, >>, ...
  - \* System call: dup2()
  - \* Effect on per process FDT:
  - FDT Index 0, 1, and 2 are for standard I/O
  - These default to keyboard, terminal, terminal
  - Redirection changes these entries to disk files
- Examples
  - \* Figure 3.15 (pp. 107) FDT for 'cat > my.file'
  - \* Example 3.17 (pp. 108) use of dup2()

# 3.4-5 Filters, Redirection, Pipes

• Pipe: A special type of file

- \* A communication buffer w/ file descriptors: fd0, fd1
- \* Unidirectional: Data written on fd1 is read from fd0
- \* first-in-first-out property
- \* Has no permanent name (Named pipes = FIFOs (sec. 3.9))
- Use: let filters work together in a single command
  - \* Command line: ls -l | sort -n +4
  - \* 'ls' and 'sort' share a pipe, say <fd0, fd1>
  - \* 'ls' redirect its stdout to 'fd1'
  - \* 'sort' redirects its stdin to 'sort'
- System call: pipe()
  - \* Example 3.20 (pp. 110-1) : Code showing use of
  - pipe(), fork(), STDI/O redirections via dup2()
  - \* Fig. 3.18-20 (pp. 111-2) show effects on FDTs

# **3.4-5 Filters, Redirection, Pipes**

• Generalization of Pipes

- \* Pipes are very successful, i.e. widely used
- \* Named pipes
- \* Bidirectional pipes
- \* Communication across a network of machines
- 3.9 Named pipes, i.e. FIFOs
  - \* first-in first-out files
  - \* Create a fifo with a filename and permissions
  - \* Persists after creator process exits
  - \* Command/system call mkfifo: Example 3.25, pp. 120
  - \* Q? Name an advantage of FIFOs over pipes.
  - \* Unrelated processes (non parent-child) can share it!
- *Bidirectional: Data written on fd1 is read from fd0*
- and data written on fd0 can be read from fd1
  - \* See STREAMS in chapter 12.
- Network Communication
  - \* sockets() are generalization of pipes

\* Chapter 12 (Client-Server Communications)

# 3.6-8 File operations: blocking/non-blocking

- Blocking read/write is default, i.e.
- read() waits until input is available
  - \* Not suitable for server processes (e.g. mail)
  - which read from a ready file-descriptor among many
- System calls read() and write()
   while ((br = read(from\_fd, buffer, BLKSIZE) > 0)
   if ( write(to\_fd, buf, bytesread) <= 0)
   break;</li>
- Non-blocking I/O
  - \* Allow read() to return immediately
  - if no input is available in buffer
  - \* System calls fcntl() Ex. 3.22 (pp. 116)
    if ( fnctl(fd, F\_GETFL, 0) == -1)
    perror("Could not get flags for fd");
    else{ fd\_flags |= O\_NONBLOCK;
    if ( fnctl(fd, F\_SETFL, fd\_flags) == -1)
    perror("Could not set flags for fd");
    }
- Alterntive system call select()